

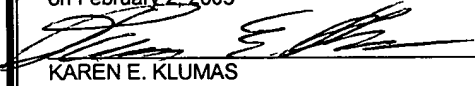
SFW Receipt

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents
Alexandria, VA 22313-1450"

on February 2, 2005


KAREN E. KLUMAS
Reg. No. 31,070
Attorney for Applicant(s)

02/02/05
Date of
Signature

PATENT
#02-0151-UNI
Case #J3651(C)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Adams et al.
Serial No.: 10/506,374
Filed: September 2, 2004
For: TRIBLOCK COPOLYMERS FOR COSMETIC OR PERSONAL CARE

Englewood Cliffs, New Jersey 07632
February 2, 2005

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following error:

Please amend the Domestic Priority Data information to read as follows:
--PCT/EP03/01581 02/18/03--.

Please amend the Foreign Application information to read as follows:
--EUROPEAN PATENT APPLICATION 02251712.2 03/12/02--.

Support for these changes can be found in the attached copy of the executed declaration.

In the event there is a fee for this error, please charge our Deposit Account 12-1155.

Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Karen E. Klumas', is written over a horizontal line.

Karen E. Klumas
Reg. No. 31,070
Agent for Applicant(s)

KEK/mt
(201) 894-2332



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,374	09/02/2004	1615	920	J3651(C)		16	1

CONFIRMATION NO. 1186

00201
 UNILEVER INTELLECTUAL PROPERTY GROUP
 700 SYLVAN AVENUE,
 BLDG C2 SOUTH
 ENGLEWOOD CLIFFS, NJ 07632-3100

FILING RECEIPT



OC000000014974182

J E K

Date Mailed: 01/19/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerald Adams, Bebington, Wirral, GBN, UNITED KINGDOM;
 Michael Douglas Eason, Bebington Wirral, GBN, UNITED KINGDOM;
 Ezat Khoshdel, Bebington Wirral, GBN, UNITED KINGDOM;
 Susanne Henning Rogers, Bebington Wirral, GBN, UNITED KINGDOM;

Power of Attorney:

Rimma Mitelman-34396

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/01581 08/18/2002

2/18/03

Foreign Applications

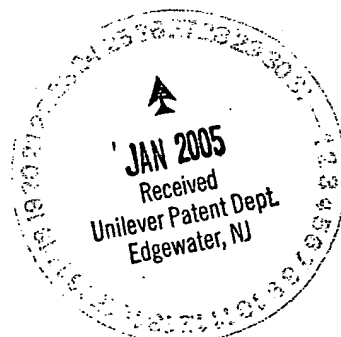
EUROPEAN PATENT OFFICE (EPO) 02251712.2 03/12/2003

2002

Projected Publication Date: 04/28/2005

Non-Publication Request: No

Early Publication Request: No



Title

Triblock copolymers for cosmetic or personal care compositions

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)ATTORNEY'S DOCKET NUMBER
J3651(C)

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I believe I am the original, first and sole inventor (if only one name listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRIBLOCK COPOLYMERS FOR COSMETIC OR PERSONAL CARE COMPOSITIONS

the specification of which (check only one item below):

is attached hereto.

☐ was filed as United States application Serial No. _____ on _____
and was amended on _____ (if applicable)☒ was filed as PCT International application **PCT/EP03/01581** on **18th February 2003**
and was amended under PCT Article 19 on _____ (if applicable)

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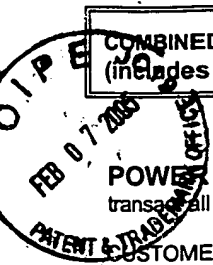
PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119
EUROPE	02251712.2	12 th March 2002	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

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PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120.

U.S. APPLICATION(S)		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S Filing Date	PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NUMBER	PCT Filing Date	U.S Serial Numbers Assigned (if any)		
PCT/EP03/01581	18th February 2003			



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)
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CUSTOMER NUMBER: 000201

Direct all correspondence to: CUSTOMER NUMBER 000201

201

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Residence & Citizenship	City BEBINGTON, WIRRAL	State or Foreign Country UNITED KINGDOM	Country of Citizenship UNITED KINGDOM
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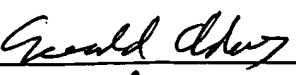

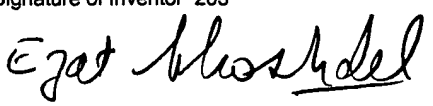
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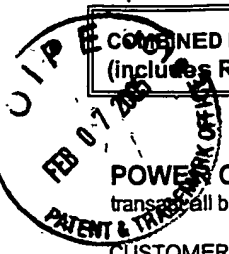
Full Name of Inventor	Family Name EASON	First Given Name MICHAEL	Second Given Name DOUGLAS
Residence & Citizenship	City BEBINGTON, WIRRAL	State or Foreign Country UNITED KINGDOM	Country of Citizenship UNITED KINGDOM
Post Office Address	Post Office Address UNILEVER R&D PORT SUNLIGHT QUARRY ROAD EAST	City BEBINGTON, WIRRAL, MERSEYSIDE, CH63 3JW	State & Zip Code/Country UNITED KINGDOM

203

Full Name of Inventor	Family Name KHOSHDEL	First Given Name EZAT	Second Given Name -
Residence & Citizenship	City BEBINGTON, WIRRAL	State or Foreign Country UNITED KINGDOM	Country of Citizenship UNITED KINGDOM
Post Office Address	Post Office Address UNILEVER R&D PORT SUNLIGHT QUARRY ROAD EAST	City BEBINGTON, WIRRAL, MERSEYSIDE, CH63 3JW	State & Zip Code/Country UNITED KINGDOM

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Signature of Inventor 201 	Signature of Inventor 202 	Signature of Inventor 203 
Date 2nd Aug 04	Date 2nd AUGUST. 2004	Date 2nd Aug 04



COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued)
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204

Full Name of Inventor	Family Name ROGERS	First Given Name SUSANNE	Second Given Name HENNING
Residence & Citizenship	City BEBINGTON, WIRRAL	State or Foreign Country UNITED KINGDOM	Country of Citizenship GERMANY
Post Office Address	Post Office Address UNILEVER R&D PORT SUNLIGHT QUARRY ROAD EAST	City BEBINGTON, WIRRAL, MERSEYSIDE, CH63 3JW	State & Zip Code/Country UNITED KINGDOM

205

Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State & Zip Code/Country

206

Full Name of Inventor	Family Name	First Given Name	Second Given Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
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Signature of Inventor 204 <i>Susanne Henning Rogers</i>	Signature of Inventor 205	Signature of Inventor 206
Date <i>2 Aug 04</i>	Date	Date

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(Includes Reference to PCT International Applications)ATTORNEY'S DOCKET NUMBER
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the specification of which (check only one item below):

☐ is attached hereto.☐ was filed as United States application Serial No. _____ on _____
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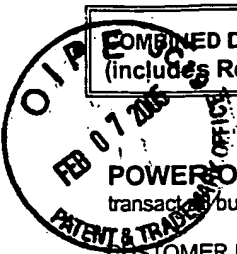
COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119
EUROPE	02251712.2	12 th March 2002	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

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U.S. APPLICATION(S)		STATUS (CHECK ONE)		
U.S. APPLICATION NUMBER	U.S. Filing Date	PATENTED	PENDING	ABANDONED
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PCT/EP03/01581	18th February 2003			

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (continued) (includes Reference to PCT International Applications)	ATTORNEY DOCKET NUMBER J3651(C)
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Post Office Address	Post Office Address UNILEVER R&D PORT SUNLIGHT QUARRY ROAD EAST	City BEBINGTON, WIRRAL, MERSEYSIDE, CH63 3JW	State & Zip Code/Country UNITED KINGDOM

202

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Signature of Inventor 201 	Signature of Inventor 202 	Signature of Inventor 203
Date 2nd Aug 04	Date 2nd August. 2004	Date 2nd Aug 04

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205

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206

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Signature of Inventor 204 <i>Susanne Henning Rogers</i>	Signature of Inventor 205	Signature of Inventor 206
Date <i>2 Aug 04</i>	Date	Date

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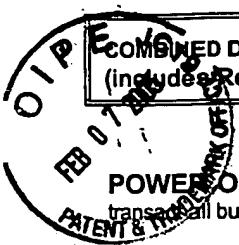
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COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day,month,year)	PRIORITY CLAIMED UNDER 35 USC 119
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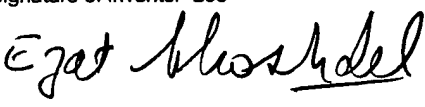
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ATTORNEY DOCKET NUMBER
J3651(C)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 204 <i>Susanne Henning Rogers</i>	Signature of Inventor 205	Signature of Inventor 206
Date <i>2 Aug 04</i>	Date	Date